



ORA NEWSLETTER

FEB 2019– ISSUE 8

Government Shutdown and Research

As Congress appears to have reached a deal late last week avoiding another government shutdown, the impacts are still being assessed by the individual agencies.



During the government shutdown in late December and January, many federal websites and business applications posted notices and provided shutdown [contingency plans](#) with specific information about their operations.

As with most sponsored projects, we recommend investigators and departments work closely with their central office counterparts to determine the specific impact to their federally funded projects. Also, it is important to ensure you remain attentive to notices sent out by ORA.

Effort Reporting Update

In late January 2019, ORA was able to officially close all pending Effort Certifications in the legacy Effort Reporting System (ECRT). The legacy system contained all salaries for individuals paid prior to August 31, 2017.

On February 22, 2019, the next Effort Certification period will open in the current system, which will be for the salaries paid during the period of June 1, 2018 through November 30, 2018. More details will be discussed at the ORA Quarterly Meetings, and we will send additional communications to the Faculty and Effort Coordinators as the date approaches. If you would like to better understand the details surrounding the [ECRT system](#), the [Effort Reporting Policy](#), [Managing Salary](#) on Sponsored Projects, or obtain additional training, please refer to the [ORA website](#).



Eye of the Hurricane Export Control Compliance News

U.S. Company Agrees to Pay a Civil Penalty of \$80,000 For Export Control Violation

The U.S. Department of Commerce's Bureau of Industry (BIS) and Security (BIS) has announced that Multiwire Laboratories Ltd. of Ithaca, New York, has agreed to pay a civil penalty of \$80,000 to settle charges that it committed two violations of Export Administration Regulations (EAR) § 764.2(a) (Engaging in Prohibited Conduct). Specifically, BIS charged that on two occasions, on or about February 12, 2014, and on or about August 12, 2015, respectively, Multiwire engaged in conduct prohibited by the EAR. Multiwire Laboratories exported Real-Time Back Reflection Laue Camera Detectors and Accessories, items subject to the EAR, designated EAR99 (Lowest level of control.), and valued at \$177,156, to the University of Electronic Science and Technology of China (UESTC) in Chengdu, People's Republic of China, without the required BIS licenses.

UESTC was listed on the Entity List as “a denied party”, and a BIS license was required to export any item subject to the Regulations to that foreign entity. Although an experienced exporter, Multiwire did not have an export control compliance program in place at any relevant time to screen foreign customers against the BIS Entity List, either when Multiwire first unlawfully exported the items to UESTC on or about February 12, 2014, or when it unlawfully exported the same items back to Multiwire for warranty service. Click [here](#) for the Settlement Agreement and related documentation.

The take way from “Multiwire’s” experience - remember, “each UM associate is responsible for ensuring foreign persons, foreign entities, visitors, observers, outside service vendors, have all been screened to confirm that the person or entity does not appear on any of the 200+ agency lists of denied/excluded parties.”

Export Control is all of our responsibilities here at the University of Miami, contact the UM Export Control Office for proper vetting and restricted party screening of foreign persons and entities.

Contact William J. Collins directly 305-284-9558 or go to the ORA Export Compliance website: <https://www.ora.miami.edu/compliance/export-control-compliance/index.html>

Important NIH Notices

Are you aware of these important National Institutes of Health (NIH) Notices which may affect your research?

- [NIH Parent Announcements](#)
- [Ruth L. Kirschstein National Research Award \(NRSA\) Stipend, Tuition/Fees and Other Budgetary Levels for FY 2019](#)
- [NIH Implementation of the Final Rule on the Federal Policy for the Protection of Human Subjects \(Common Rule\)](#)
- [Revised NIH Policy Statement \(10/18\)](#)



Workday Grants Update

The University has engaged with Sierra Cedar to review and assess the current status of the Workday implementation and provide recommendations and solutions to optimize Workday. In order to receive the latest updates regarding the status of the assessment, please attend one of the ORA Quarterly Meetings where we will discuss this, as well as many other grants-related topics.

ORA Quarterly Meetings

ORA Quarterly Meetings scheduled at the Medical School and Coral Gables campuses. Topics to be discussed will include: Effects of the Government Shutdown, Workday-Sierra Cedar, JHS Work Order Process, PCRFL Changes, CCS Charge Rates, Effort Certification, Research Technology Assessment, Best Practices for Award Closeout, Service Center Policy Changes and the Revised Common Rule.

Campus	Date	Time	Location
Medical	February 25, 2019	1:00 p.m.-4:00 p.m.	Bascom Palmer, Jose Berrocal Auditorium
Coral Gables	February 28, 2019	9:00 a.m. - noon	Shalala Center - Senate Room

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CONTACT US

If you have any questions please contact ORA-SI@miami.edu or visit our [website](#) to obtain additional information.

Website: ora.miami.edu