

July 12, 2019

Two Important Messages From Our Federal Sponsors

National Institutes of Health (NIH)

Reminder of NIH Policies to Protect Research

The National Institutes of Health (NIH) issued a notice to remind the extramural community about the need to report foreign activities through documentation of other support, foreign components and financial conflict of interest to prevent scientific, budgetary and commitment overlap. NIH has long required full transparency for all research activities both domestic and foreign and does not consider these clarifications to be changes in policy.

The requirements summarized in the Notice, along with other obligations in the NIH Grants Policy Statement and other terms and conditions of award, are instrumental to achieving the mutual goal of NIH and the extramural community, to protect the integrity of biomedical research. Read the full <u>NIH Notice-OD-19-114</u>.

National Science Foundation (NSF) Research Protection

The Director of the National Science Foundation (NSF) issued a Dear Colleague Letter on Research Protection.

Highlights of the Dear Colleague Letter include the steps that NSF is taking to protect NSF-funded research. See below.

- A reminder of the NSF requirement issued on April 2018 that all rotators working onsite at NSF must be U.S. citizens or have applied for U.S. citizenship.
- A reminder to NSF staff reminding them that government ethics regulations require accurate and timely financial disclosure reports. Individuals must disclose any emoluments and/or gifts from foreign governments.
- A renewed effort to ensure that senior project personnel on proposals disclose all sources of support, both foreign and domestic. Effort is now underway to ensure existing requirement to disclose current and pending support information are known, understood and followed. A clarification of this is included in a draft of the NSF Proposal and Award Policies and Procedures Guide. It is open for public comment until July 29.
- To streamline the process for providing the disclosures, NSF is proposing of an electronic format for submission of biographical sketches, including disclosures of all appointments.
- NSF has commissioned an independent scientific advisory group, JASON, to conduct a study. The study will
 assess the risks and recommended possible practices for NSF and its awardee organizations to achieve the
 best possible balance between openness and security of science.
- NSF is issuing a policy making it clear that NSF personnel and IPAs detailed to NSF cannot participate in foreign government talent recruitment programs.

For more information, read the <u>Dear Colleague Letter</u> from NSF.

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For additional information, please visit the ORA website at <u>www.ora.miami.edu</u>.

If you have any questions, please email us at ora-si@miami.edu.