

New Effective Date

For the Revised NSF Proposal & Award Policies & Procedures Guide (NSF 19-1)

February 14, 2019

A MESSAGE FROM JEAN FELDMAN, HEAD, POLICY OFFICE, NATIONAL SCIENCE FOUNDATION

Dear Colleagues:

Due to the recent lapse in appropriations, implementation of the revised NSF <u>Proposal & Award Policies & Procedures Guide</u> (PAPPG), (NSF 19-1) was postponed. We are pleased to announce that the revised PAPPG will now be effective for **proposals submitted**, or due, on or after February 25, 2019. Significant changes include:

- Addition of Research gov as an option for proposal preparation and submission, and proposal file updates;
- Revision of eligibility standards for unaffiliated individuals;
- Specification that conference proposals over \$50,000 and all equipment proposals must include the Collaborators and Other Affiliations information in the proposal submission;
- Revision of resubmission guidelines for NSF programs that accept proposals at any time;
- Implementation of NSF's policy on sexual harassment and other forms of harassment, or sexual assault;
- Specification that proposers are required to have a policy or code-of-conduct that addresses sexual harassment, other forms of harassment, and sexual assault, and that includes clear and accessible means of reporting violations of the policy or code-of-conduct. This policy or code-of-conduct must be disseminated to conference participants prior to attendance at the conference as well as made available at the conference itself;
- Emphasis on the importance of training faculty in the responsible and ethical conduct of research;
- Incorporation of existing patent policy into the PAPPG. This policy was previously implemented by regulation at 45 CFR 650; and
- Numerous clarifications and other changes throughout the document.

You are encouraged to review the by-chapter summary of changes provided in the Introduction section of the PAPPG.

To learn about the changes in the revised PAPPG (NSF 19-1), please view the latest <u>NSF Proposal & Award Policy Update</u> webinar.

While this version of the PAPPG becomes effective on February 25, 2019, in the interim, the guidelines contained in the current PAPPG (NSF 18-1) continue to apply. We will ensure that the current version of the PAPPG remains on the NSF website, with a notation to proposers that specifies when the new PAPPG (including a link to the new Guide) will become effective.

Associated award terms and conditions (including RTC NSF Agency Specific Requirements, GC-1, and FL-26) will also be effective for proposals submitted or due, on or after, February 25, 2019. Cooperative Agreement Conditions (CA-FATC) and CA-FATC Modifications and Supplemental terms and conditions are effective for new awards and funding actions to existing awards beginning on February 12, 2019.

If you have any questions regarding these changes, please contact the DIAS/Policy Office at policy@nsf.gov.



For additional information, please visit the ORA website at www.ora.miami.edu.

If you have any questions, please email us at ora-si@miami.edu.