From: Lacativa, Dierdre L

Sent: Wednesday, August 02, 2017 12:57 PM

Cc: Duerk, Jeffrey <jeffrey.duerk@miami.edu>; Bixby, John L <jbixby@med.miami.edu>

Subject: Moratorium on F&A Waivers

Dear Colleagues,

As you know, UM's investment in our research enterprise depends heavily on our ability to recover Facilities & Administrative (F&A) costs for our sponsored projects. Our standard F&A rates are determined by a negotiation process with the federal government, and are applied to most sponsored research. There are some exceptions--caps on F&A recovery are published by many foundations, and also exist for certain classes of federally sponsored grants (e.g., "T" grants).

Up to now, waivers have been granted for some (usually smaller) projects, such that lower F&A rates than those published have been accepted. In rare cases even full waivers of F&A costs have been allowed.

After consultation with leadership, the Provost has decided on a "moratorium" on F&A waivers. Starting today, only published F&A rates will be accepted for any sponsored projects, and there will be no full waivers of F&A on any sponsored project without the Provost's explicit approval. Any request for exception to this rule must be made in writing, with clear justification, at least 15 days prior to the deadline for submittal of the involved proposal; this has been our rule for years and is not a new expectation. Requests that do not meet this timeline will not be granted, and submittals that do not meet published rates will not be processed by the Office of Research Administration.

Please be sure to communicate this to the faculty in your school/college. Thank you for your help as we work to improve our processes.

Best,

John

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